## Practitioner's Docket No. (See Attachment A) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**PATENT** 

Applicant: (See Attachment A)

Application Number: (See Attachment A)
Application Filing Date: (See Attachment A)

Patent Number: (See Attachment A)
Patent Issuance Date: (See Attachment A)
Invention Title: (See Attachment A)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY (37 C.F.R. § 1.27(g)(2))

- 1. Applicant asserted small entity status on the applications listed on Attachment A, on the dates listed on Attachment A, by payment of the basic filing fee as a small entity (37 C.F.R. § 1.27(c)(3)).
- 2. Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.

Beth A. Vrioni, Reg. No. 39,869

Date: August 19, 2004

Signature

Assignee:

Person authorized to sign on behalf of assignee

Spinal Concepts, Inc. 5301 Riata Park Court Bldg. F Austin, TX 78727

## **BEST AVAILABLE COPY**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a)

I hereby certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 with sufficient postage as first class mail

Date: 6 19 04

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Kimberly A. Jorio

## LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY Attachment A

		1.01.11.11.11						
09/691279	10/17/2000		5/13/2003	6562040		Spinal Fixation System	Erik J. Wagner	008359/0658 Recorded 1/21/97
09/093756	6/9/1998	Granted	10/17/2000	<b>61324</b> 30	5259-00100USD1	Spinal Fixation System	Erik J. Wagner	008359/0656 Recorded 1/21/97
1								008359/0658 Recorded 1/21/97;
09/434324	11/4/1999	<u>n</u>			5250.00100 ISD2	Method and Apparatus for	Frik 1 Wagner	12/31/97; 014210/0603
08/740123	10/24/1996	Granted	7/9/2002	<b>64165</b> 15		Spinal Fixation System		008359/0658 Recorded 1/21/97
08/942325	10/1/1897	Granled	7/22/2003	<b>6595</b> 992	14SN00100-6525	Method and Apparatus for Spinal Fixation		008802/0192 Recorded
09/026711	2/20/1998	Granted	11/23/1999	5989250	5259-00100USP2	Method and Apparatus for Spinal Fixation		014210/0603 Recorded 6/26/03
0980500	8777000	Carted	SHOWN A	<b>6676</b> 016	100 IOUGUU 0363	Adjustable Height Fusion		009803/0209 Recorded 11/23/98; 008756/0146
09/153178	9/15/1998	Granted	6/27/2000	6080193	5259-00200USD1	Adjustable Height Fusion Device		009603/0209 Recorded 11/23/98
08/847172	5/1/1997	Granted	4/4/2000	8045579	5259-00200USO1	Adjustable Height Fusion Device	Stephen Houchschuler	008756/0146 Recorded 10/14/97
09/046759	3/24/1998	Granted	11/4/2003	66 <b>41</b> 614	5259-00200USP1	Multi-Adjustable-Height Fusion Cage	Erik J. Wagner	009442/0848 Recorded 8/31/98
09/070118	4/30/1998	Granted	3/16/2004	<b>6706</b> 070	5259-00200USP2	Multi-Adjustable-Height Fusion Device	Erik J. Wagner	009422/0983 Recorded 8/24/98
09/211887	12/15/1998	Granted	8/10/1999	5935133	5259-00500USD1	Surgical Cable System and Method	Erik J. Wagner	008985/0.594 Recorded 2/9/98
09/211888	12/15/1998	Granted	5/21/2002	6391030	5259-00 <b>5</b> 00USD2	Surgical Cable System and Method		008985/0594 Recorded 2/9/98
08/919127	8/26/1997	Granted	10/12/1999	5964769	5259-00500USO1	Surgical Cable System and Method	Erik J. Wagner	008985/0594 Recorded 2/9/98
09/085186	5/26/1998	Granted	4/25/2000	6053921	5259-00500USP1	Surgical Cable System and Method	Erik J. Wagner	009351/0189 Recorded 7/21/98 009874/0023 Recorded 4/2/99
09/360062	7/23/1999	Granted	1/27/2004	6682533		Surgical Cable System and Method	Erik J. Wagner	010398/0094 Recorded 11/22/99

## LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

		Sue Dale					
			1		~ .		
12/26/2001	Filed			5259-00600USC1			009450/0947 Recorded 9/11/98
				7 (1)		,	
AD/1008	2	257.75	200	1000 0000 000	man		
0,21,1000	Granted	2002/147/6	69/169	5259-W600USP1	With a Bone Plate		009450/0947 Recorded 9/11/98
					System and Method for		
					Stabilizing the Human Spine		
9881/01/9	Granted	2/29/2000	6030389	5259-00600USP2	with a Bone Plate	Erik J. Wagner	009450/0959 Recorded 9/11/98
					Pedicle Probe and Depth	Richard D.	
7/16/1997	Granted	7/27/1999	<b>592</b> 8243	5259-00700USO1	Gage	Guyer	008932/0169 Recorded 1/30/98
6/23/1994	Granted	4/16/1996	5507211	5259-01000USO1	Releasable Socket	Erik J. Wagner	
					Occipito-Cervical		
					Stabilization System and	John R.	0096667/0352 Recorded
REALIEZIA	Granted	11/14/2000		5259-01200USO1	Method	Hurlbert	12/23/98
						Stephen	
4/30/1998	Granted	8/8/2000	6099527	5259-01300USO1	Bone Protector and Method	Houchschuler	009650/0211 Recorded 11/9/98
					Side Mounted Polyaxial	Joseph P.	
4/13/1998	Granted	5/16/2000	6063089	5259-02100USC1	Pedicle Screw	Errico	009275/0021 Recorded 6/30/98
					Side Mounted Polyaxial	Joseph P.	
12/23/1886	Granted	7/7/1998	5776135	5259-02100USO1	Pedicle Screw	Errico	009275/0021 Recorded 6/30/98
		•			Sliding Shaft Variable		
					혛	loseph D	,
4/13/1998	Granted		B430#49	בשנים השנינים השנים			
47 101 140	Graniea	┸	6139548	5259-02200USC1		ET(CO	009275/0021 Recorded 6/30/98
					Î	,	
						Joseph P.	
L	Granted		L	5259-02200USO1	Apparatus	Errico	009275/0021 Recorded 6/30/98
					Polyaxiai Pedicle Screw		
4/30/1998	Granted	10/5/1999			Having a Through Bar Clamp Locking Mechanism	Joseph P. Errico	009275/0021 Recorded 6/30/98
			Filed 9/24/2002 Granted 9/24/2000 Granted 7/27/1999 Granted 11/14/2000 Granted 8/8/2000 Granted 5/16/2000 Granted 7/77/1998 Granted 5/19/1998 Granted 10/31/2000	Filed 9/24/2002 8454769  Granted 9/24/2002 8030389  Granted 7/27/1999 5928243  Granted 11/14/2000 6030382  Granted 8/8/2000 6046382  Granted 5/16/2000 6063089  Granted 10/31/2000 6139548  Granted 5/19/1998 5752955  Granted 10/5/1999 5961518	Status   Status   Halant No.   Albarra   Maria   Mar	Stratus   Stra	Status   S

## Attachment A

09/532387	09/369964	09/298269	09/229297	08/632561	08/567144	08/622891	09/070268	018088/80	08/856773	09/261877	
3/22/2000	666 11978	4/23/1999	1/13/1999	4/15/1998	12/4/1995	3/29/1996	4/30/1998	6/23/1997	5/15/1997	3/4/1989	
Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	Granted	
5/20/2003	5/6/2003	9/9/2002	9/26/2000	1/20/1998	9/16/1997	8/5/1997	12/7/1999	9/22/1998	7/28/1998	1/25/2000	18080000
<b>6565</b> 566	<b>65572</b> 26	6442814	<b>6123</b> 707	<b>5709</b> 684	5667507	<b>56537</b> 63	<b>59975</b> 39	<b>5810</b> 819	5785711	6017344	Laien No.
5259-03600USO1	5259-03100USP1	5259-03100USO1	5259-03000USO1	5259-02600USP1	5259-02800USO1	5259-02500USO1	5259-02300USP2	5259-02300USP1	5259-02300USO1	5259-02300USD1	Anound the second
Sacrat Screw Assembly and Method	Apparatus for Manufacturing a Bone Dowel	Apparatus for Manufacturing a Bone Dowel	Reduction Instrument	Advanced Compression Locking Variable Length Cross-Link Device	Compression Locking Variable Length Cross-Link Device for Use with Dual Rod Apparatus	Intervertebral Space Shape Conforming Cage Device	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Compression Locking Rod Gripping Mechanism	Polyaxial Pedicle Screw Having a Through Bar Clamp Locking Mechanism	Polyaxial Pedide Screw Having a Through Bar Clamp Locking Mechanism	
Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Erik J. Wagner	Joseph P. Errico	James P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P. Errico	Joseph P Errico	
011073/0752 Recorded 9/13/00	010347/0747 Recorded 10/29/99	010085/0138 Recorded 7/12/99	009840/0875 Recorded 3/2/99	9490/0692 Recorded 10/5/98	James P. Errico 9490/0892 Recorded 10/5/98	009490/0692 Recorded 10/15/98	009275/0021 Recorded 6/30/88	0092 <i>75/</i> 0021 Recorded 6/30/98	009275/0021 Recorded 6/30/98	G09275/0D21 Recorded 6/30/98	

# LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3))

Attachment A

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10/337219	09/680756	<b>0</b> 9/684218	09/684628	09/952209	10/387361	10/387363	10/387329	0 <del>9</del> /755183	09/478923	09/861758	09/479458	10/015206	
1/6/2003	10/6/2000	10/6/2000	10/6/2000	9/12/2001	9/11/2003	3/11/2003	3/11/2003	7/5/2001	1/6/2000	9/24/2001	1/8/2000	11/19/2001	
Filled	Filed	Filed	Flled	Granted	Filed	Filed	Flled	Granted	Granted	Granted	Granted	Filed	Shine Say
				6/8/2004				2/25/2003	9/10/2002	5002/8/6	12/18/2001		Esucidates
				<b>67484</b> 49				<b>6524</b> 312	<b>6447</b> 512	6616671	<b>6331</b> 179		
5259-07800USO1	5259-04900USO1	5259-04600USO1	5259-04700USO1	5259-04600USO1	5259-04500USO3	5259-04500USO2	5259-04500USO1	525 <b>9-04</b> 00 <b>0USP</b> 1	5259-04000USO1	5259-04000USC1	5259-03700USO1	5259-03700USC1	
Surgical Implants for Use as Spinal Spacers	Adjustable Transverse Connector with Cem Activated Engagers	Adjustable Transverse Connector	Transverse Connector with Cam Activated Engagers	Spinal Rod Translation Instrument	Sinal Implant Including a Compressible Connector	Instrumentation and Procedure for Implanting Spinal Implant Devices	Instrumentation and Procedure for Implanting Spinal Implant Devices	Instrument and Method for Implanting an Interbody Fusion Device	Instrument and Method for Implanting an Interbody Fusion Device	Instrument and Method for Implanting an Interbody Fusion Device	System and Method for Stabilizing the Human Spine with a Bone Plate	System and Method for Stabilizing the Human Spine with a Bone Plate	
David J. Krueger	Grady G. McBride	Grady G. McBride	Grady G. McBride	Robert J. Jones	Michael E. Landry	Michael E. Landry	Michael E. Landry	Michael E. Landry	Michael E. Landry	Michael E. Landry	Jim Freid	Jim Freid	
014157/0620 Recorded 6/6/03	011593/0900 Recorded 3/15/01	011518/0837 Recorded 2/12/01	011515/0884 Recorded 2/12/01	Robert J. Jones 012557/0740 Recorded 1/30/02	014383/0746 Recarded 8/11/03	014403/0794 Recorded 8/13/03	014380/0261 Recorded 8/11/03	012032/0293 Recorded 7/31/01 013006/0228 Recorded 5/23/02	010765/0303 Recorded 5/5/00	010765/0303 Recorded 5/5/00	010732/0443 Recorded 4/20/00	010732/0443 Recorded 4/20/00.	

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## LIST OF PATENTS AND APPLICATIONS FOR NOTIFICATION OF LOSS OF STATUS OF SMALL ENTITY (37 C.F.R. 1.27(c)(3)) Attachment A

014164/0207 Recorded 6/12/03	Davis	Pseudo Arthrosis Device	6259-12300USP1			Filed	12/27/2002	10/331181
	Reginald J.				•••			
	Davis	Pseudo Arthrosis Device	5259-12300USO1	<b>6736</b> 850	5/18/2004	Granted	12/28/2001	10/035052
	Reginald J.							
012823/0549 Recorded 4/22/02	Jim Freid	Extension Plate	5259-10000USO1			Filed	2/1/2002	29/155057
01+30270 110 Necoluda 1/10/03			0200-0000000			100	1000	
0143030118 Decombed 7/15/03			SOSE INCODE BYCK			Tiled	1/24/2003	10/351288
		Stabilizing a Portion of a			***			
		Spinal Plate System for			*****			
014397/0674 Recorded 8/14/03	Jim Freid	Spine	5259-09900USO1			Filed	1/23/2003	10/351283
		Stabilizing a Portion of a						
		Spinal Plate System for						
014181/0907 Recorded 6/16/03	Jim Freid	System and Method	5259-09200USO1			Filed	1/31/2003	10/356391
		Spinal Plate Extender				ı		
013786/0320 Recorded 2/21/03		Inserting a Spinal Implant	5259-08300USO2			Filed	11/8/2002	10/291223
	Javier Garcia-	Instruments and Methods for Javier Garcia-			•			
013796/0202 Recorded 2/24/03	Bengchoa	Spinal Implant	5259-08300USO1			Filed	11/8/2002	10/291245
	Javier Garcia-				•			
10/29/02	Mitchell	and Method	5259-07900USO1			Filed	7/19/2002	10/200024
013449/0035 Recorded	Margaret E,	Spinat Stabilization System	:	!		:		!
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